

Export LC Transfer Cancellation User Guide

Oracle Banking Trade Finance Process Management

Release 14.7.5.0.0

Part No. G15303-01

September 2024

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Oracle Banking Trade Finance Process Management - Export LC Transfer Cancellation User Guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

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1. Preface

1.1 Introduction

This user manual is designed to help you quickly get acquainted with Export LC Transfer Cancellation process in Oracle Banking Trade Finance Process Management.

1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.7 Conventions

The following text conventions are used in this document:





Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
	Exit
	Add row
	Delete row
	Option List

2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

3. Export LC Transfer Cancellation

Export LC Transfer Cancellation process enables the user to cancel the active Transfer LC which had been already advised.

An active Transfer LC Cancellation request received from First beneficiary can be cancelled in case of below scenarios:

- If further drawings are not expected/required under a Transfer LC.
- Request has been received for Parent Export LC cancellation; hence any issued Transfer LC has to be cancelled as well prior to cancellation of the parent Export LC.

In the following sections, let's look at the details for Export LC Transfer cancellation process.

This section contains the following topics:

[3.1 Common Initiation Stage](#)

[3.2 Registration](#)

[3.2.5 Document Linkage](#)

[3.4 Exceptions](#)

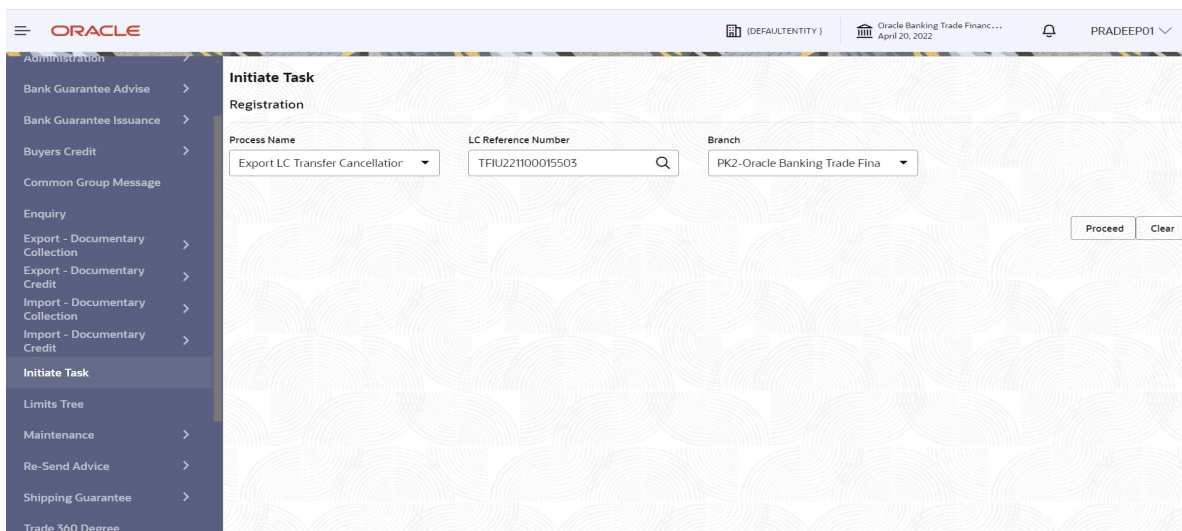
[3.5 Multi Level Approval](#)

[3.6 Reject Approval](#)

3.1 Common Initiation Stage

The user can initiate the new Export LC Transfer cancellation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.

Field	Description
LC Reference Number	Select the LC reference number.
Branch	Select the branch.

3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

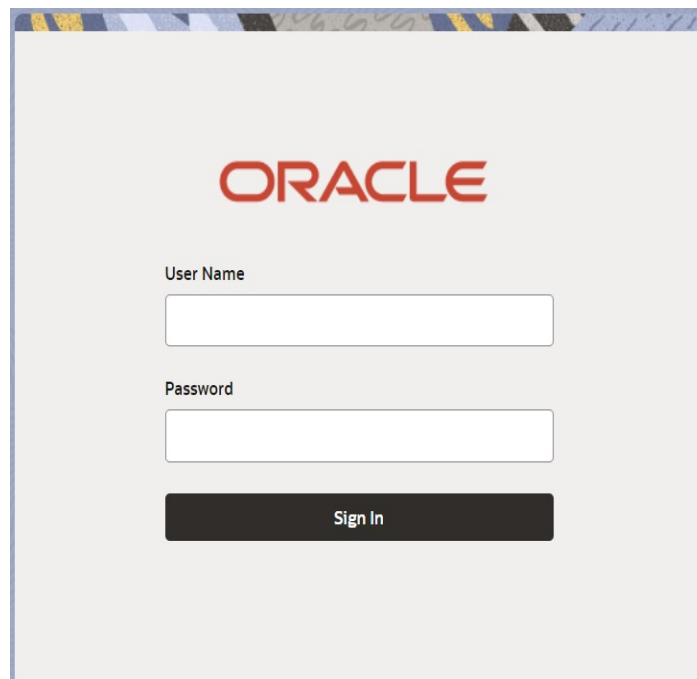
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

3.2 Registration

The user can register a request for a Transfer LC cancellation received at the front desk (as an application received physically/received by mail/fax).

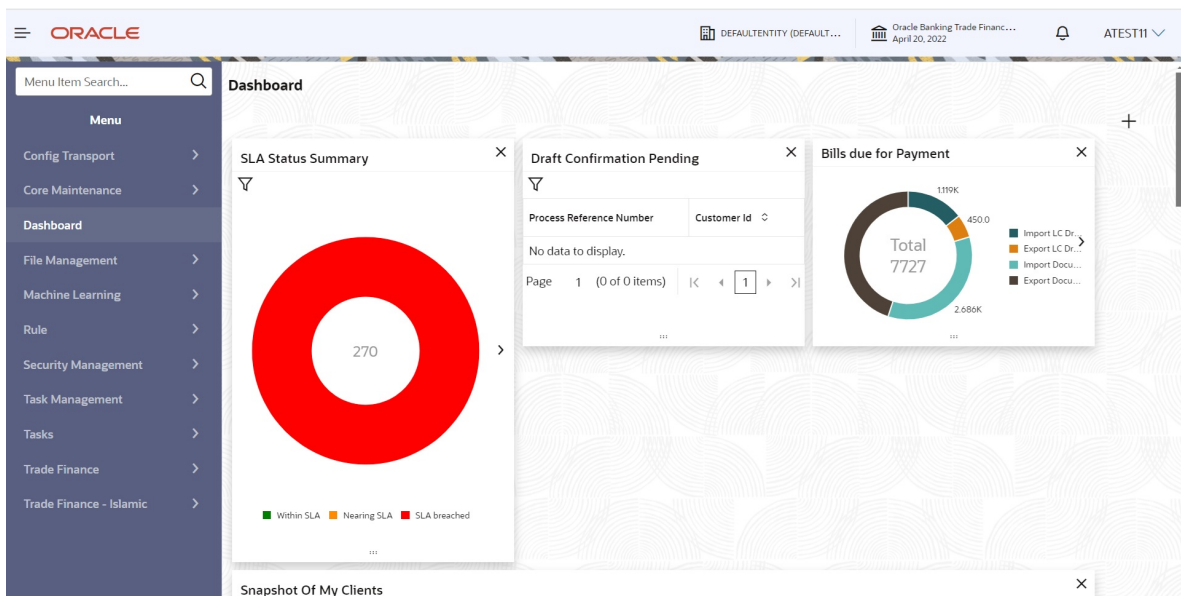
During registration stage, user can capture the basic details of the application, check the signature of the First Beneficiary and upload related documents. On submit of the request, the customer should be notified with acknowledgment and the request should be available for an LC expert to handle in the next stage.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

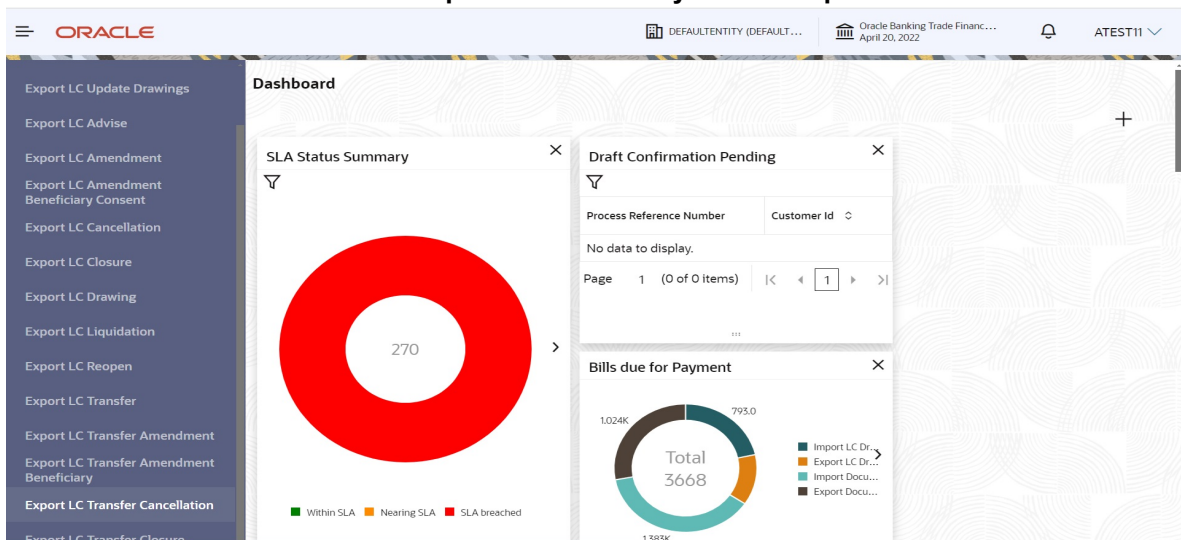


The screenshot shows a login interface with a light gray background. At the top center is the Oracle logo in red. Below the logo, the text 'User Name' is followed by a white rectangular input field. Below that, the text 'Password' is followed by another white rectangular input field. At the bottom center, there is a dark gray button with the text 'Sign In' in white.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Trade Finance > Export - Documentary Credit > Export LC Transfer Cancellation**.



The registration stage has two sections Application Details and LC Details. Let's look at the details of registration screens below:

3.2.1 Application Details

Export LC Transfer Cancellation

Application Details

Transfer LC Reference Number: TRFS221100042067

Process Reference Number: PK2ELCT000062009

Issuing Bank Reference: 1000000000

First Beneficiary: 001044 GOODCARI

Priority: Medium

Branch: PK2-Oracle Banking Trade Fina

Amendment No: 2

Submission Mode: Desk

Cancellation Date: April 20, 2022

Transfer LC Details

LC Type: Sight

Product Code: TRFS

Product Description: Transfer Export product

Advising Bank: 001185 RBS PLC

40A - Form of Documentary Credit: IRREVOCABLE

31C - Date of Issue: April 20, 2022

40E - Applicable Rules: UCP LATEST VERSION

Date of Expiry: December 28, 2022

31D - Place of Expiry: LONDON

Second Beneficiary: 6497001 CIF9941202

Available Amount: GBP £50.00

Transfer Amount: GBP £50.00

39A - Percentage Credit Amount Tolerance: /

39C - Additional Amount Covered:

Beneficiary Consent: ☐

Sender To Receiver

722 Sender to Receiver Information-707:

Buttons: View Transfer LC, View Export LC, Hold, Cancel, Save & Close, Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Transfer LC Reference Number	<p>Specify the Transfer LC Reference Number. Alternatively, user can search the Transfer LC Reference Number using LOV.</p> <p>In the LOV, user can input Transfer LC Reference Number, Second Beneficiary, Currency, Amount and User Reference to fetch the Export Transfer LC details. System displays all the Transfer LC's outstanding against the given first Beneficiary-Second Beneficiary combination.</p> <p>User can select the particular Transfer LC that can be cancelled. Once the LC to be cancelled is input/selected, on tab out system will populate the details of the LC to be cancelled.</p> <p>Note:</p> <p>System would not display the Transfer LC Reference which is already in cancelled or closed status.</p>	

Field	Description	Sample Values
First Beneficiary	Read only field. First beneficiary name and ID is auto-populated as available in underlying transfer LC.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from the LC details.	203-Bank Futura -Branch FZ1
Amendment No	Read Only field. System defaults the latest amendment number sequence for this Transfer Letter of Credit. The amendment sequence number is simulated from the backend system. The System defaults based on the logic < Last Amendment Number +1>.	
Process Reference Number	Read Only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Essential/Critical/Medium/High/Low based on maintenance. User can change the value.	High
Submission Mode	The submission mode of Export LC Transfer Cancellation request is defaulted by the system. By default the submission mode will have the value as 'Desk'. User can change the defaulted priority. Desk - Request received through Desk Courier - Request received through Courier Email - Request received through Email Fax - Request received through Fax If cancellation request initiated from customer portal, then submission mode value will be 'online' and read only.	Desk
Cancellation Date	Read only field. By default, the application will display branch's current date.	04/13/2018
Issuing Bank Reference	Read only field. Issuing Bank reference number details will be auto-populated from the underlying Transfer LC.	

3.2.2 Transfer LC Details

The user can view the latest LC values displayed in the respective fields. All fields displayed in LC details section are **read only** fields except the **Beneficiary Consent** toggle.

The screenshot shows a 'Transfer LC Details' form with the following fields and values:

- LC Type:** Sight
- Product Code:** TRFS
- Product Description:** Transfer Export product
- Advising Bank:** 001185 RBS PLC
- 40A - Form of Documentary Credit:** IRREVOCABLE
- 31C - Date of Issue:** April 20, 2022
- 40E - Applicable Rules:** UCP LATEST VERSION
- Date of Expiry:** December 28, 2022
- 31D - Place of Expiry:** LONDON
- Second Beneficiary:** 6497001 CIF9941202
- Available Amount:** GBP £50.00
- Transfer Amount:** GBP £50.00
- 39A - Percentage Credit Amount Tolerance:** /
- 39C - Additional Amount Covered:**
- Beneficiary Consent:** ☐

Buttons at the bottom: Hold, Cancel, Save & Close, Submit.

Field	Description	Sample Values
LC Type	Read only field. Displays the value used for LC Type as per the latest LC details.	
Product Code	Read only field. Displays the product code used during Issuance of Transfer LC.	
Product Description	Read only field. Displays the description of the product as per the product code as in Transfer LC Issuance.	
Advising Bank	Read only field. Displays the advising bank Bank through which Transfer LC is advised, as per the latest LC details.	
40A - Form of Documentary Credit	Read only field. Displays the type of LC as per the selection done at the time of Transfer LC Issuance.	
Date of Issue	Read only field. This field displays the transfer LC issuance date.	
Applicable Rules	Read only field. Displays the rules under which LC is issued, as per the latest LC details.	
Date Of Expiry	Read only field. Displays the expiry date as per the latest Transfer LC details.	
Place of Expiry	Read only field. Displays the place of expiry as per the latest Transfer LC details.	

Field	Description	Sample Values
Second Beneficiary	Read only field. Applicant Bank if available as per the latest LC details is displayed.	
Available Amount	Read only field. Displays the outstanding value of the Transfer LC.	
Transfer Amount	Read only field. Currency Code as per the latest LC details is displayed. Transfer LC Amount as per the latest LC details is displayed.	
Percentage Credit Amount Tolerance	Read only field. Displays the percentage credit amount tolerance details as per the latest LC.	
Additional Amount Covered	Read only field. Additional amount covered as per the latest LC details.	
Beneficiary Consent	This flag is enabled, if cancellation requires beneficiary's consent. In case user wishes to turn it OFF, an override message should be populated. "Beneficiary Consent flag Turned OFF".	

3.2.3 Sender To Receiver

Sender To Receiver

72Z Sender to Receiver Information-707

Field	Description	Sample Values
Sender to Receiver Information	<p>Click 'Search' icon to search and select a FFT to provide the additional information to receiver.</p> <p>User can enter the Sender to Receiver Information to be sent in outgoing MT707.</p> <p>Click the edit icon to edit the sender to receiver information.</p>	

3.2.4 Miscellaneous

ORACLE

DEFAULTTENITY (DEFAULT...

Oracle Banking Trade Financ...
April 20, 2022

ATEST11

Export LC Transfer Cancellation

Signatures

Documents

Remarks

Customer Instruction

Application Details

Transfer LC Reference Number

TRFS221100042067

First Beneficiary

001044

GOODCARI

Branch

PK2-Oracle Banking Trade Fina

Amendment No

2

Process Reference Number

PK2ELCT000062009

Priority

Medium

Submission Mode

Desk

Cancellation Date

April 20, 2022

Issuing Bank Reference

1000000000

View Transfer LC

View Export LC

Transfer LC Details

LC Type

Sight

Product Code

TRFS

Product Description

Transfer Export product

Advising Bank

001185

RBS PLC

40A - Form of Documentary Credit

IRREVOCABLE

31C - Date of Issue

April 20, 2022

40E - Applicable Rules

UCP LATEST VERSION

Date of Expiry

December 28, 2022

31D - Place of Expiry

LONDON

Second Beneficiary

6497001

CIF9941202

Available Amount

GBP

£50.00

Transfer Amount

GBP

£50.00

39A - Percentage Credit Amount Tolerance

/

39C - Additional Amount Covered

Beneficiary Consent

☐

Sender To Receiver

72Z Sender to Receiver Information-707

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signatures	System will display the details of Authorized signatories. The pop up box will display the signature id, signature title and image of the signature for verification.	

Field	Description	Sample Values
Documents	<p>User to upload the applicable documents.</p> <p>System displays the mandatory and optional documents. If mandatory documents are not uploaded, system should display an error on submit. The possible documents submitted under a Transfer LC closure request are:</p> <ul style="list-style-type: none"> • Cancellation request • Transfer LC instrument copy 	
Remarks	Provide any additional information regarding the transfer LC Closure. This information can be viewed by other users processing the request.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
View Transfer LC	Clicking on View Transfer LC button enables user to view the latest details of the Transfer LC (fields to be displayed as currently available in Transfer LC Amendment registration process).	
View Export LC	Clicking on View Export LC button enables the user to view the underlying Export LC Parent details (fields to be displayed as currently available in Transfer LC Amendment registration process).	
Action Buttons		
Submit	<p>On submit, task will get moved to next logical stage of Export LC Transfer Cancellation.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and displays the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancels the Export LC Transfer Cancellation Registration stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Checklist	<p>System displays the mandatory and optional checklist items. User needs to check on the applicable checklist items. If mandatory checklist items are not marked, system will display an error on submit. The possible checklist items under Registration Stage are:</p> <ul style="list-style-type: none"> • Application signed and stamped • Customer signature verified • All Documents received are uploaded • Any correction or alteration initiated by the First Beneficiary 	

3.2.5 Document Linkage

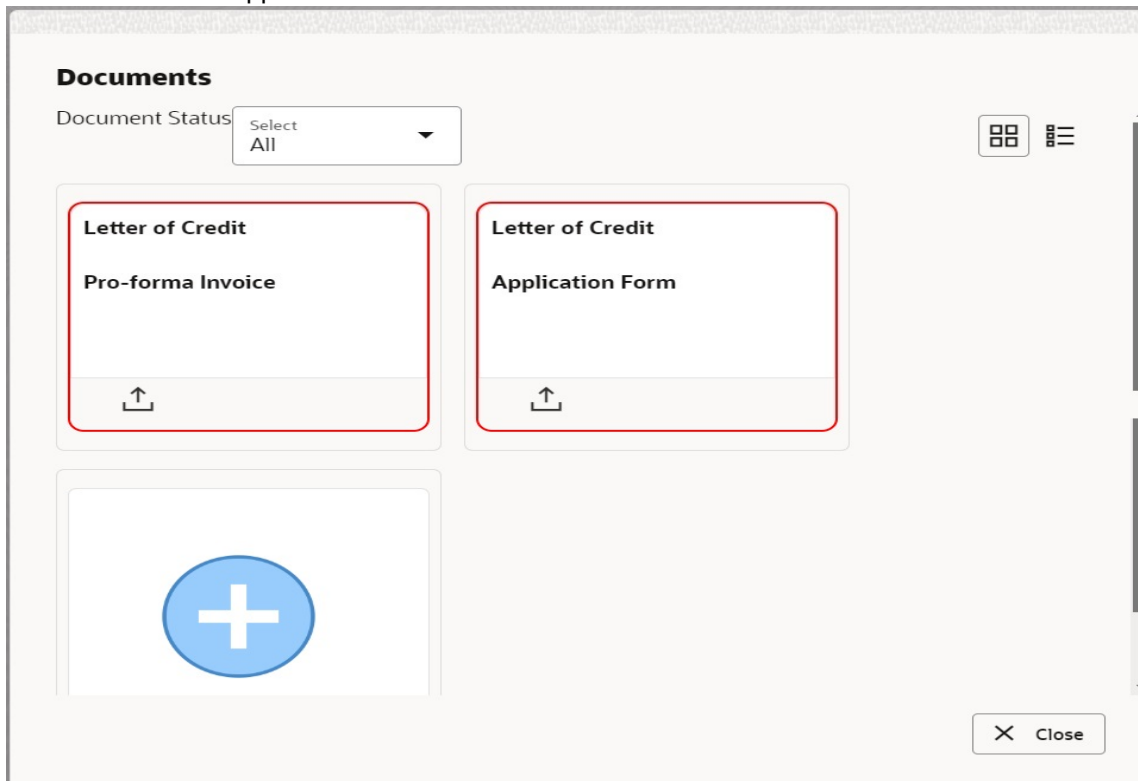
The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type
Letter of Credit

Document Title

Remarks

Drop files here or click to select

Selected files:

Document Code
Insurance Policy

Document Description

Document Expiry Date

Link Document

Upload Link Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

Link Document

Customer Id

001044

Document Type

Letter of Credit

Fetch

Document Id

Document Code

Insurance Policy

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
No data to display.						

Page 1 (0 of 0 items)

Close

- Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id
001044

Document Type
Letter of Credit

Fetch

Document Id

Document Code
Insurance Policy

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
Link	616	001044	fdff	INSURANCE	December 6, 2023	PK2ELCU000003399
Link	116	001044		INSURANCE	November 3, 2023	PK2ILCD000001238
Link	144	001044		INSURANCE	November 6, 2023	PK2IILD000001312
Link	162	001044		INSURANCE	November 9, 2023	PK2ELCC000001424
Link	684	001044	cvcvc	INSURANCE	December 11, 2023	PK2IELD000004034

Page 1 of 6 (1-5 of 29 items) |< < 1 2 3 4 5 6 > >|

Close

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

Edit Document

Document Id
2464

Application Reference Number
PK2ILCI000053389

Document Type Id
TFPM_DOCTYPE001

Remarks
ffdfd

Document Title
ddf

Entity Reference Number
PK2ILCI000053389

Document Description
dfdfdf

Document Expiry Date

Drop files here or click to select

Current selected files:ddf.pdf | 2464

Update

Cancel

3.3 Data Enrichment

A Data Enrichment (DE) user processes a new request for Transfer LC Cancellation.

As part of Data Enrichment, user can enter/update basic details of the incoming request.

Note

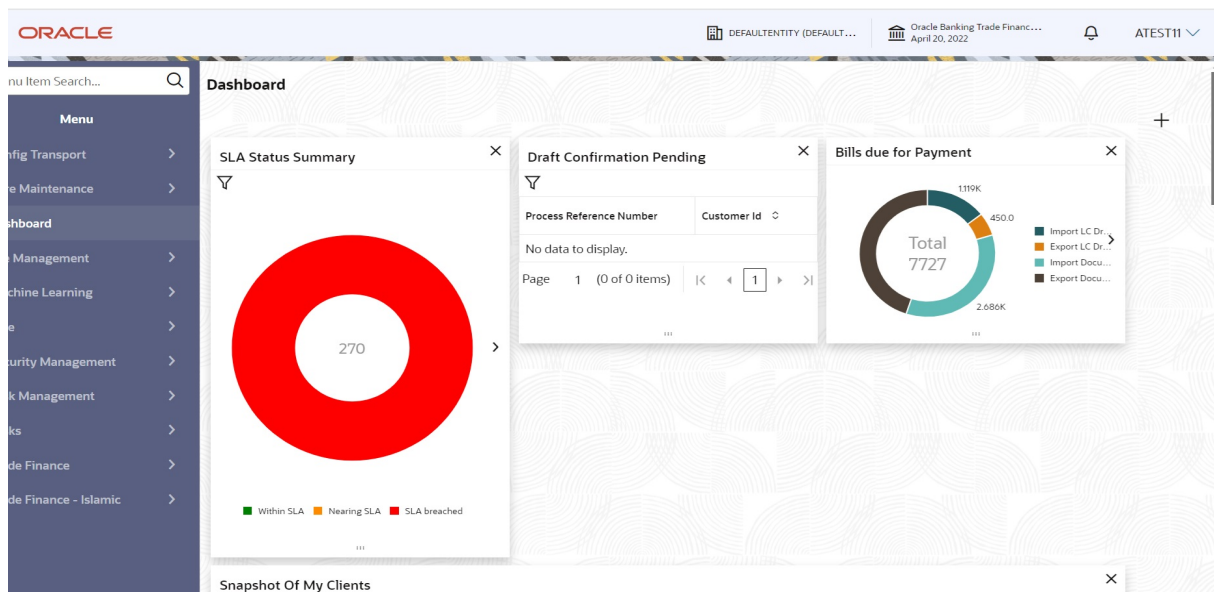
If the incoming message is MT 707, requests that are received via online channels like trade portal, external system and SWIFT should be available directly for further processing in OBTFPM from Data Enrichment stage.

Non-Online Channel-Transfer LC Cancel request that were received at the desk will move to DE stage post successful Registration stage. The transaction will have the details entered during the Registration stage.

Online Channel (for Online channel, submission mode is 'Online' and read-only) - Requests that are received via online channels like trade portal are available directly for further processing in OBTFPM from DE stage. Do the following steps to acquire a task at Data enrichment stage:

Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.

1. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



2. Click Trade Finance> Tasks> Free Tasks.

Menu Item Search...

Tasks

- Awaiting Customer Clarification
- Business Process Maintenance
- Completed Tasks
- Free Tasks**
- Hold Tasks
- My Tasks
- Other User tasks
- Search
- SubProcess Tasks
- Supervisor Tasks

Free Tasks

Refresh Acquire Flow Diagram

<input type="checkbox"/>	Acquire and Edit	Priority	Process Name	Process Reference Number	Application Number	Stage
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Transfer Cancell...	PK2ELCT000062009	PK2ELCT000062009	DataEnrichment
<input type="checkbox"/>	Acquire and Edit	Medium	Export Documentary Colle...	PK2EDCL000061927	PK2EDCL000061927	Approval Task Level 1
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Amendment	PK2ELCA000061996	PK2ELCA000061996	Registration
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee Advise	PK2GTEA000062013	PK2GTEA000062013	DataEnrichment
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee Amendment	PK2GTEA000061991	PK2GTEA000061991	DataEnrichment
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Transfer	PK2ELCT000061970	PK2ELCT000061970	Scrutiny
<input type="checkbox"/>	Acquire and Edit	Medium	Import Documentary Colle...	PK2IDCU000061962	PK2IDCU000061962	DataEnrichment
<input type="checkbox"/>	Acquire and Edit	Medium	Import LC Internal Amend...	PK2ILCI000061961	PK2ILCI000061961	DataEnrichment
<input type="checkbox"/>	Acquire and Edit	Medium	Import LC Amendment	PK2ILCA000061952	PK2ILCA000061952	Scrutiny
<input type="checkbox"/>	Acquire and Edit	Medium	Shipping Guarantee Issuance	PK2SGTI000061951	PK2SGTI000061951	DataEnrichment
<input type="checkbox"/>	Acquire and Edit	Medium	Import Documentary Colle...	PK2IDCL000061936	PK2IDCL000061936	DataEnrichment

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Menu Item Search...

Tasks

- Awaiting Customer Clarification
- Business Process Maintenance
- Completed Tasks
- Free Tasks**
- Hold Tasks
- My Tasks
- Other User tasks
- Search
- SubProcess Tasks
- Supervisor Tasks

Free Tasks

Refresh Acquire Flow Diagram

<input type="checkbox"/>	Acquire and Edit	Priority	Process Name	Process Reference Number	Application Number	Stage
<input checked="" type="checkbox"/>	Acquire and Edit	Medium	Export LC Transfer Cancell...	PK2ELCT000062009	PK2ELCT000062009	DataEnrichment
<input type="checkbox"/>	Acquire and Edit	Medium	Export Documentary Colle...	PK2EDCL000061927	PK2EDCL000061927	Approval Task Level 1
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Amendment	PK2ELCA000061996	PK2ELCA000061996	Registration
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee Advise	PK2GTEA000062013	PK2GTEA000062013	DataEnrichment
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee Amendment	PK2GTEA000061991	PK2GTEA000061991	DataEnrichment
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Transfer	PK2ELCT000061970	PK2ELCT000061970	Scrutiny
<input type="checkbox"/>	Acquire and Edit	Medium	Import Documentary Colle...	PK2IDCU000061962	PK2IDCU000061962	DataEnrichment
<input type="checkbox"/>	Acquire and Edit	Medium	Import LC Internal Amend...	PK2ILCI000061961	PK2ILCI000061961	DataEnrichment
<input type="checkbox"/>	Acquire and Edit	Medium	Import LC Amendment	PK2ILCA000061952	PK2ILCA000061952	Scrutiny
<input type="checkbox"/>	Acquire and Edit	Medium	Shipping Guarantee Issuance	PK2SGTI000061951	PK2SGTI000061951	DataEnrichment
<input type="checkbox"/>	Acquire and Edit	Medium	Import Documentary Colle...	PK2IDCL000061936	PK2IDCL000061936	DataEnrichment

- The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

	Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Appli
<input checked="" type="checkbox"/>	Edit	Medium	Export LC Transfer Ca...	PK2ELCT000062009	PK2ELCT000062009	DataEnrichment	22-04-20
<input type="checkbox"/>	Edit	Medium	Export LC Amendment...	PK2ELCA000061569	PK2ELCA000061569	DataEnrichment	22-04-20
<input type="checkbox"/>	Edit	Medium	Guarantee Advise	PK2GTEA000061513	PK2GTEA000061513	Scrutiny	22-04-20
<input type="checkbox"/>	Edit	Medium	Guarantee Advise	PK2GTEA000061505	PK2GTEA000061505	Scrutiny	22-04-20
<input type="checkbox"/>	Edit	Medium	Guarantee Advise	PK2GTEA000061495	PK2GTEA000061495	Scrutiny	22-04-20
<input type="checkbox"/>	Edit	Medium	Export LC Amendment	PK2ELCA000053707	PK2ELCA000053707	DataEnrichment	22-04-20
<input type="checkbox"/>	Edit	Medium	Export LC Amendment	PK2ELCA000053706	PK2ELCA000053706	DataEnrichment	22-04-20
<input type="checkbox"/>	Edit	Medium	Export LC Amendment	PK2ELCA000053690	PK2ELCA000053690	Scrutiny	22-04-20
<input type="checkbox"/>	Edit	High	Import LC Issuance	PK2ILCI000053389	PK2ILCI000053389	Registration	22-04-20
<input type="checkbox"/>	Edit	High	Import LC Issuance	PK2ILCI000053356	PK2ILCI000053356	Handoff RetryTask	22-04-20
<input type="checkbox"/>	Edit	Medium	Export LC Transfer A...	PK2ELCT000052761	PK2ELCT000052761	DataEnrichment	22-04-20

The Data Enrichment stage has six sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

3.3.1 Main Details

Main details section has three sub section as follows:

- Application Details
- Transfer LC Details
- Sender To Receiver

3.3.1.1 Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [3.2.1 Application Details](#) for more information of the fields.

Export LC Transfer Cancellation DataEnrichment ::
Application No:- PK2ELCT000062009

Clarification Details Documents Remarks Overrides
 Customer Instruction Incoming Message View LC Signatures

Screen(1/6)

Main Details

Application Details

Transfer LC Reference Number: TRFS221100042067
 First Beneficiary: 001044 GOODCARI
 Branch: PK2-Oracle Banking Trade Fina
 Amendment No: 2

Process Reference Number: PK2ELCT000062009
 Priority: Medium
 Submission Mode: Desk
 Cancellation Date: April 20, 2022

Issuing Bank Reference: 1000000000

Transfer LC Details

LC Type: Sight
 Product Code: TRFS
 Product Description: Transfer Export product
 Advising Bank: 001185 RBS PLC

40A - Form of Documentary Credit: IRREVOCABLE
 31C - Date of Issue: April 20, 2022
 40E - Applicable Rules: UCP LATEST VERSION
 Date of Expiry: December 28, 2022

31D - Place of Expiry: LONDON
 Second Beneficiary: 6497001 CIF9941202
 Available Amount: GBP £50.00
 Transfer Amount: GBP £50.00

39A - Percentage Credit Amount Tolerance: /
 39C - Additional Amount Covered:
 Beneficiary Consent: ☐

Sender To Receiver
 72Z Sender to Receiver Information-707

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Next

Field	Description	Sample Values
Transfer LC Reference Number	Transfer LC Reference Number is the LC Number for the instrument to be cancelled. Read only field. Displayed as available from previous/Registration stage.	
First Beneficiary	Read only field. Displayed the first beneficiary as available from previous/Registration stage.	EMR & CO
Branch	Read only field. Branch through with the LC is issued. This is usually the home branch of the customer. Displays as available from previous/Registration stage.	203-Bank Futura -Branch FZ1

Field	Description	Sample Values
Amendment No	<p>Read Only field.</p> <p>System defaults the latest amendment number sequence for this Transfer Letter of Credit. The amendment sequence number is simulated from the backend system.</p> <p>Displays the value as available from previous/Registration stage.</p>	
Process Reference Number	<p>Read Only field.</p> <p>Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.</p> <p>Displays the value as available from previous/Registration stage.</p>	
Priority	<p>System will default the Priority as Essential/Critical/Medium/High/Low based on maintenance.</p> <p>User can change the value.</p>	High
Submission Mode	<p>The submission mode of Export LC Transfer Cancellation request is defaulted by the system. By default the submission mode will have the value as 'Desk'.</p> <p>User can change the defaulted priority.</p> <p>Desk- Request received through Desk</p> <p>Courier- Request received through Courier</p> <p>Email - Request received through Email</p> <p>Fax - Request received through Fax</p> <p>Displays the value as available from previous/Registration stage.</p>	Desk
Cancellation Date	<p>Read only field.</p> <p>By default, the application will display branch's current date.</p>	04/13/2018
Issuing Bank Reference	<p>Read only field.</p> <p>Reference number of the Issuing bank will be auto-populated from the underlying Transfer LC.</p> <p>Displays the value as available from previous/Registration stage.</p>	

3.3.2 Transfer LC Details

A DE user will be able to view the latest Transfer LC values defaulted in the respective fields. All fields displayed in LC details section are **read only** fields except the **Beneficiary Consent** toggle.

Field	Description	Sample Values
LC Type	Read only field. Displays the value used for LC Type as per the latest LC details.	
Product Code	Read only field. Displays the product code used during Issuance of Transfer LC.	
Product Description	Read only field. Displays the description of the product as per the product code as in Transfer LC Issuance.	
Advising Bank	Read only field. Displays the advising bank as per the latest LC details.	
40A - Form of Documentary Credit	Read only field. Displays the form of documentary credit details value available in LC record.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	Read only field. Displays the applicable rule as per the latest LC details.	
Date Of Expiry	Read only field. Displays the expiry date as per the latest LC details.	
Place of Expiry	Read only field. Displays the place of expiry as per the latest LC details.	
Second Beneficiary	Read only field. Second beneficiary name, as per the latest Transfer LC details is displayed.	

Field	Description	Sample Values
Available Amount	Read only field. Displays the available amount in the Transfer LC. Latest value is displayed from Back Office.	
Transfer Amount	Read only field. Currency Code as per the latest LC details is displayed. Transfer LC Amount as per the latest LC details is displayed.	
Percentage Credit Amount Tolerance	Read only field. Displays the percentage credit amount tolerance details as per the latest LC.	
Additional Amount Covered	Read only field. Additional amount covered as per the latest LC details.	
Beneficiary Consent	This flag is enabled, if cancellation requires beneficiary's consent. In case user wishes to turn it OFF, an override message should be populated. "Beneficiary Consent flag Turned OFF".	
Percentage Credit Amount Tolerance	Read only field. Displays the percentage credit amount tolerance details as per the latest LC.	
Additional Amount Covered	Read only field. Additional amount covered as per the latest LC details.	
Beneficiary Consent	This flag is enabled, if cancellation requires beneficiary's consent. In case user wishes to turn it OFF, an override message should be populated. "Beneficiary Consent flag Turned OFF". Beneficiary Consent is enabled, if the cancellation is for full or part of the LC remaining value where further drawings are expected under the LC. User should Turn OFF Beneficiary Consent flag if all drawings under the LC have already been booked and the cancellation is triggered for the remaining unutilized amount.	

3.3.3 Sender To Receiver



Field	Description	Sample Values
Sender to Receiver Information	<p>Click 'Search' icon to search and select a FFT to provide the additional information to receiver.</p> <p>User can enter the Sender to Receiver Information to be sent in outgoing MT707.</p> <p>Click the edit icon to edit the sender to receiver information.</p>	

3.3.3.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for cancellation. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC Cancellation. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
View LC	Enables the user to view the latest LC of transfer LC values displayed in the respective fields.	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

3.3.4 Additional Fields

A DE user can verify the additional fields implemented by the bank. Any user defined fields maintained at the bank level will be available in this Additional field details. Banks can configure these additional fields during implementation.

The screenshot shows the Oracle Banking Trade Finance application interface. The title bar indicates the user is logged in as ATEST11. The main heading is 'Export LC Transfer Cancellation DataEnrichment ::' with the application number 'PK2ELCT000062009'. The left sidebar lists navigation options: Main Details, Additional Fields (highlighted), Advices, Additional Details, Settlement Details, and Summary. The main content area is titled 'Additional Fields' and displays a message: 'No Additional fields configured!'. The top navigation bar includes buttons for 'Clarification Details', 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', 'Incoming Message', 'View LC', and 'Signatures'. The bottom bar contains buttons for 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

3.3.5 Advices

DE user can view the Advices generated during Export LC Cancellation request. As part of simulation, user can view the advices generated from Back office during Transfer LC

Cancellation request. Some of the possible advices could be of cancellation, payment message, etc. If the advice is not required, the user can suppress the advice.

Export LC Transfer Closure DataEnrichment :: Application No:- PK2ELCT000061613

Documents Remarks Overrides Customer Instruction View LC Signatures

Main Details Additional Fields **Advices** Additional Details Settlement Details Summary

Advices Screen(3/6)

Advice : LC_CLOSE_ADV

Advice Name : LC_CLOSE_ADV
Advice Party : BEN
Party Name : CIF994120243...
Suppress Advice : NO

Advice : PAYMENT_MESSAGE

Advice Name : PAYMENT_MESSAGE
Advice Party :
Party Name :
Suppress Advice : NO

Audit Reject Refer Hold Cancel Save & Close Back Next

Advice Details

Advice Details

▼ Advice Details

Suppress Advice ☐

Advice Name Medium Advice Party

LC_AMND_INSTR [v] ABK

Party ID Party Name

001204 ABC BANK

▼ FFT Code





FFT Code	FFT Description		Action
FREEVP	TESTING FFT	[edit]	[edit] [delete]

▼ Instructions

Instruction Code	Instruction Description	Edit	Action
E023	IN CASE, REIMBURSING BANK IN NEW YORK, FAILS TO F	[edit]	[edit] [delete]

OK Cancel

Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p>	
Advice Name	<p>Read only field.</p> <p>Displays the advice name.</p>	

Field	Description	Sample Values
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from transfer LC.	
Party ID	Value be defaulted from transfer LC.	
Party Name	Read only field. Value be defaulted from transfer LC.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click delete icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the Instruction code selected.	
	Click plus icon to add new instruction code.	
	Click delete icon to remove any existing instruction code.	

3.3.6 **Additional Details**

A Data Enrichment User can view the Additional Details during Transfer LC Cancellation request. Some of the possible details could be related to

- Charges and Taxes
- Preview Messages

Export LC Transfer Cancellation DataEnrichment ::

Application No:- PK2ELCT000062009

Clarification Details

Documents

Remarks

Overrides

Customer Instruction

Incoming Message

View LC

Signatures

Screen(4/6)

Main Details

Additional Fields

Advices

Additional Details

Settlement Details

Summary

Additional Details

Preview Messages

Language : English

Preview Advice : -

Commission, Charges and Taxes

Charge : GBP 95.00

Commission :

Tax :

Block Status :

Audit

Request Clarification

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

3.3.6.1 Preview Message

User can view the preview of below advices:

- MT 707 to the Advising Bank
- Debit Advice to the First Beneficiary
- Trade envelope MT798

Preview Messages

Preview - SWIFT Message

Language

English

Message Type

799

Message Status

GENERATED

Repair Reason

Preview - Mail Advice

Language

English

Advice Type

DEBIT_ADVICE

Message Status

GENERATED

Repair Reason

Preview Message

-----Instance Type and Transmission-----

Original Received from Application - Outgoing Draft

Priority/Delivery : Normal

-----Message Header-----

Swift Input : FIN 799 Free Format Message

Sender Swift address : AAEMNL21XXX

ANTHOS ASSET MANAGEMENT B.V.

AAEMNL21XXX

JACHTHAVENWEG 111

1008 AB AMSTERDAM

Receiver Swift address : RBOSGB2LXXX

PARO BANK

Preview Message

DEBIT ADVICE/TAX INVOICE

DATE: 05-MAY-21

PAGE : 1

BRANCH ID:

BRANCH NAME:

BANK TRN: 100282764800003

TRANS TIME:

CIF994120243001

6497001

CIF994120243002

RTD

Save & Close

Cancel

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	

Field	Description	Sample Values
Message type	Select the message type from the drop down. User can choose to see preview of different message like MT 700, MT 740 and MT 701.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the advice.	

3.3.6.2 Commission, Charges and Taxes

System will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values.
If customer or customer group specific charges are maintained, then the same will be

defaulted from back end system. User can 'Recalculate,' 'Redefault', waive, defer and modify the details.

Commission, Charges and taxes

RecalculateRedefault

Commission Details

Component	Rate	Mod. Rate	Ccy	Amount	Modified	Defer	Waive	Split	Charge Party	Settl. Acctnt	Amend
No data to display.											

Page 1 (0 of 0 items) |< < 1 > >|

Charge Details

Component	Tag currency	Tag Amount	Ccy	Amount	Modified	Billing	Defer	Waive	Split	Charge Party	Settl. Acctnt
No data to display.											

Page 1 (0 of 0 items) |< < 1 > >|

Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acctnt
No data to display.							

Split Settlement

select	Component	Currency	Amount
No data to display.			

Page 1 (0 of 0 items) |< < 1 > >|

Split Settlement Details

Sequence	Component	Amount	Percentage	Branch	Account Currency	Account	Exchange Rate	Original Exchange Rate	Party Type	Customer	AR-AP Tracking	Loan/Finance Account	Net Rat
No data to display.													

Page 1 (0 of 0 items) |< < 1 > >|

Save & CloseCancel

Commission Details

Field	Description	Sample Values
Component	Select the commission component	
Rate	<p>Defaults from product. User can change the rate, if required.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Select the check box to waive charges/commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	

Field	Description	Sample Values
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	
Amendable	Displays if the field is amendable or not.	

Charges Details

Override message for charges should be displayed for – Transfer LC should be cancelled only after recovery of all outstanding charges. Charges functionality should function as per the existing functionality available for transfer LC Issuance and Amendment process.

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	

Field	Description	Sample Values
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. The user cannot update tax detail and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	<p>If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	

Field	Description	Sample Values
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	
Charges From Beneficiary	Detail of charges to be collected from beneficiary.	

Split Settlement

Once the user clicks on the Recalculate button to fetch the Split Settlement details from Backoffice, new section "Split Settlement" will appear below the 'Tax' section. The default parties in Split row should be fetched from OBTF.

Field	Description	Sample Values
Component	The split component type eligible for Split .	
Currency	The currency of split settlement.	
Amount	The amount of split settlement.	

Split Settlement Details

Split Settlement details section appears from Back office, when the user clicks on the Recalculate button.

Split Settlement Details

Component

CHGTRAMND_LIQD_S01

Customer

001044

Account

PK20010440017

Branch

PK2

Exchange Rate

1

Party Type

BEN

AR-AP Tracking

☐

Negotiation Rate

Amount

50

☐

Account Currency

GBP

Percentage

50.00

Original Exchange Rate

1

Negotiation Reference

Loan/Finance Account

N

Fetch Exchange Rate

Save & Close

Close

Field	Description	Sample Values
Sequence	The sequence number is auto populated with the value, generated by the system.	
Component	The split component type eligible for Split.	
Amount	The system splits the respective Charge/Commission amount automatically between counter party and third party with 50% value by default. The bank user can modify the amount. More than two splits are not allowed.	
Customer	Indicates the ID of the Customer in Split Settlement Details section.	
Account	The system defaults the settlement account. User can modify the settlement account. System initiates a call to common core tables within OBT-FPM to select the account	
Account Currency	Defaults the currency of the account.	
Branch	Indicates the branch of the customer where transaction is getting processed.	
Percentage	The system splits the respective Charge/Commission percentage automatically between counter party and third party with 50% value by default. More than two splits are not allowed. The bank user can modify the amount. The system should validate that the total percentage of each component doesn't exceed 100 and the total amount of each component doesn't exceed total component amount.	
Exchange Rate	System populates the exchange rate maintained.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in split settlement details section.	
Party Type	System displays the party type in split settlement details section.	
Negotiation Reference	Specify the negotiation reference number.	
AR-AP Tracking	Indicates to defer the charge/ commission in Split Settlement Details section. The user can modify the AR-AP Tracking flag as per the requirements.	
Loan/Finance Account	Displays the loan account.	

Field	Description	Sample Values
Negotiation Rate	Specify the negotiation rate.	

Note:

Override message for charges is displayed for – Transfer LC should be cancelled only after recovery of all outstanding charges. Charges functionality functions as per the existing functionality available for transfer LC Issuance and Amendment process.

3.3.6.3 Settlement Details

Application No:- PK2ELCT000062009

Customer Instruction Incoming Message View LC Signatures

Screen(5/6)

Settlement Details

☐ Current Event

▼ Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
COLLAMT_OSEQ	GBP	Debit	051002520257248	6497001	GBP	No	Yes
COLL_AMNDAMTEQ	GBP	Debit	051002520257248	6497001	GBP	No	No
COLL_AMT	GBP	Debit	051002520257248	6497001	GBP	No	No
COLL_AVALAMTEQ	GBP	Credit	051002520257248	6497001	GBP	No	No
LCADVBC_LIQD	USD	Debit	051002520257248	6497001	GBP	No	No
LCCANCHG_LIQD	GBP	Debit	051002520257248	6497001	GBP	No	Yes
LCCOURAMNV_LIQD	GBP	Debit	051002520257248	6497001	GBP	No	No
LCEXADV_LIQD	GBP	Debit	051002520257248	6497001	GBP	No	No

▼ COLL_AMT - Party Details

Transfer Type: Bank Transfer Charge Details: Remitter All Charges Netting Indicator: Ordering Customer: Name/Account

Ordering Institution: Name/Account Senders Correspondent: Name/Account Receivers Correspondent: Name/Account Intermediary Institution: Name/Account

Account With Institution: Name/Account Beneficiary Institution: Name/Account Ultimate Beneficiary: Name/Account Intermediary Reimbursement Institution: Name/Account

Receiver: 6497001

Payment Details

Sender To Receiver 1: Only /BX/XXX format is allowed Sender To Receiver 2: /BX/XXX or //XXX format is allowed Sender To Receiver 3: /BX/XXX or //XXX format is allowed Sender To Receiver 4: /BX/XXX or //XXX format is allowed

Sender To Receiver 5: /BX/XXX or //XXX format is allowed Sender To Receiver 6: /BX/XXX or //XXX format is allowed

Remittance Information

Payment Detail 1: Payment Detail 2: Payment Detail 3: Payment Detail 4:

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

A Data Enrichment User can view and update the settlement details during Transfer LC Cancellation request.

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System defaults the applicable netting indicator.	
Current Event	System defaults the current event as Y or N.	

3.3.7 **Summary**

User can review the summary of details updated in Data Enrichment stage Export Transfer LC Cancellation request.

In the summary screen, the summary tiles are displayed. These tiles displays a list of important fields with values, the user can drill down from summary Tiles into respective data segments.

Export LC Transfer Cancellation DataEnrichment :: Application No:- PK2ELCT000062009

Clarification Details Documents Remarks Overrides Customer Instruction Incoming Message View LC Signatures

Main Details Additional Fields Advices Additional Details Settlement Details **Summary**

Summary

Main Details

Form of LC : IRREVOCABLE
Submission Mode : Desk
Date of Issue : 2022-04-20
Date of Expiry : 2022-12-28
Place of Expiry : LONDON

Commission, Charges and Taxes

Charge : GBP 95.00
Commission :
Tax :
Block Status : Not Initiated

Advices

Advice 1 : LC_CANCEL_AD...
Advice 2 : TRADE_ENVELO...
Advice 3 : PAYMENT_MESS...

Preview Messages

Language : ENG
Preview Message : +

Additional Fields

Click here to view Additional : fields

Settlement Details

Component : LCCANCHG_LIQ...
Account Number : 051002520257...
Currency : GBP

Parties Details

Issuing Bank : WELLS FARGO ...
Advising Bank : RBS PLC
Beneficiary : CIF994120243...
Applicant : MARKS AND SP...

Accounting Details

Event : CANC
AccountNumber : 313100001
Branch : PK2

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Submit

Tiles Displayed in Summary

- Main Details - User can view the application details and LC details.
- Commission, Charges and Taxes: User can see the details provided for commission, charges and taxes.
- Advices - User can view the details of advices.
- Preview Messages - User can view the preview messages.
- Additional Fields - User can view the details of additional fields.
- Settlement Details: User can view the Settlement details.
- Parties Details - User can view the party details like beneficiary, advising bank etc..
- Accounting Details - User can view the accounting entries generated in back office.

3.3.7.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for cancellation. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC Cancellation. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Messages	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables the user to view the latest LC of transfer LC values displayed in the respective fields.	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	

3.4 Exceptions

The Export LC Cancellation request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

3.4.1 Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the “Amount Block Reference Number” to the back office. On successful handoff, back office will make use of these “Amount Block

Reference Number” to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

3.4.1.1 Amount Bock Exception

This section will display the amount block exception details.

Export LC Transfer Cancellation AmountBlock Exception Approval ::
Application No:- PK2ELCT000062009

Documents Remarks Overrides Customer Instruction Incoming Message View LC

Amount Block Exception Summary

Amount Block Exception Details

Type	Contract Currency	Block Amount	Account	Branch	Account Currency	Block Ref No	Block Status	Block Status Details
Charge	GBP	95	051002520257248	PK1	GBP		Failed	

Audit Reject Refer Hold Approve Next

3.4.1.2 Summary

Export LC Transfer Cancellation AmountBlock Exception Approval :: Application No:- PK2ELCT000062009

Documents Remarks Overrides Customer Instruction Incoming Message View LC

Amount Block Exception Summary

Screen(2/2)

Main Details Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2022-04-20 Date of Expiry : 2022-12-28 Place of Expiry : LONDON	Payment Details Period of Present. : 21 Confirmation Instr. : WITHOUT	Additional Fields Click here to view Additional : fields
Advices Advice 1 : LC_CANCEL_AD... Advice 2 : TRADE_ENVELO... Advice 3 : PAYMENT_MESS...	Preview Messages Language : ENG Preview Message : -	Commission, Charges and Taxes Charge : GBP 95.00 Commission : Tax : Block Status : Failed
Settlement Details Component : LCCANCHG_LIQ... Account Number : 051002520257... Currency : GBP	Accounting Details Event : CANC AccountNumber : 313100001 Branch : PK2	

Audit Reject Refer Hold Approve Back

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Payment Details - User can view the payment details.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Additional Fields: User can view the additional fields.
- Advices: User should be able to view the advice details.
- Preview Messages: User should be able to see the SWIFT message and Mail Advice.
- Commission, Charges and Taxes: User can see the details provided for commission, charges and taxes.
- Settlement Details: User can view the Settlement details.
- Accounting Details: User can view the accounting details.

3.4.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for cancellation. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC Cancellation. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none">● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Messages	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p>	
View LC	<p>Enables the user to view the latest LC of transfer LC values displayed in the respective fields.</p>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Cancellation Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

3.5 Multi Level Approval

An Approval user can review and approve the Transfer LC Cancellation request.

On logging in, the user can view the summary of details updated in multilevel approval stage of Transfer LC Cancellation request

The Approval screen displays the summary tiles. These tiles displays a list all values as entered by the maker. User can drill down from summary tiles into respective data segments where they can verify the details of all fields under the data segment.

Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

3.5.1 Re-Key Authorization

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Transfer Currency
- Transfer Amount

Approval Rekey

View Signature Documents Remarks

Transfer Currency

GBP ✓

Transfer Amount

GBP £50.00 ✓

Refer Close Proceed

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

3.5.2 Summary

The screenshot shows the Oracle Banking Trade Finance Summary screen for an Export LC Transfer Cancellation Approval Task Level 1. The application number is PK2ELCT000062009. The screen is divided into several sections: Main Details, Payment Details, Additional Fields, Settlement Details, Preview Messages, Commission, Charges and Taxes, Advices, Accounting Details, and Exception (Approval). Each section contains specific data related to the LC transfer cancellation approval process. At the bottom, there are buttons for Audit, Reject, Hold, Refer, Cancel, and Approve.

Main Details	
Form of LC	: IRREVOCABLE
Submission Mode	: Desk
Date of Issue	: 2022-04-20
Date of Expiry	: 2022-12-28
Place of Expiry	: LONDON

Payment Details	
Period of Present.	: 21
Confirmation Instr.	: WITHOUT

Additional Fields	
Click here to view Additional : fields	

Settlement Details	
Component	: LCCANCHG_LIQ...
Account Number	: 051002520257...
Currency	: GBP

Preview Messages	
Language	: ENG
Preview Message	: -

Commission, Charges and Taxes	
Charge	: GBP 95.00
Commission	:
Tax	:
Block Status	: Failed

Advices	
Advice 1	: LC_CANCEL_AD...
Advice 2	: TRADE_ENVELO...
Advice 3	: PAYMENT_MESS...

Accounting Details	
Event	: CANC
AccountNumber	: 313100001
Branch	: PK2

Exception (Approval)	
AmountBlock	: EXCEPTION
PLEASE VISIT REMARKS FOR : - MORE DETAILS	

Tiles Displayed in Summary:

- Main Details - User can view the application details and LC details.
- Payment Details - User can view payment details.
- Additional Fields: User can view the additional fields during implementation.
- Settlement Details: User can view the Settlement details.
- Preview Messages - User can view the preview messages.
- Commission, Charges and Taxes: User can see the details provided for commission, charges and taxes.
- Advices: User should be able to view the advice details.
- Accounting Details: User can view the accounting details.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Exception (Approval): User can view the Exception (Approval) details.

3.5.2.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for cancellation. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC Cancellation. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none">● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Messages	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p>	
View LC	<p>Enables the user to view the latest LC of transfer LC values displayed in the respective fields.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

3.6 Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export Transfer LC Cancellation in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Note:

If any Transfer LC Cancel transaction is pending for approval and business date change happens post EOD activity, then the Cancellation date should reflect the current business date.

3.6.1 Summary

The screenshot displays a web application interface for 'Export LC Transfer Cancellation Reject Approval'. The title bar shows 'Application No:- PK2ELCT000062009'. The interface is divided into several sections:

- Main Details:** Form of LC : IRREVOCABLE, Submission Mode : Desk, Date of Issue : 2022-04-20, Date of Expiry : 2022-12-28, Place of Expiry : LONDON.
- Payment Details:** Period of Present. : 21, Confirmation Instr. : WITHOUT.
- Additional Fields:** Click here to view Additional : fields.
- Settlement Details:** Component : LCCANCHG_LIQ..., Account Number : 051002520257..., Currency : GBP.
- Preview Messages:** Language : ENG, Preview Message : -.
- Commission, Charges and Taxes:** Charge : GBP 95.00, Commission : , Tax : , Block Status : Failed.
- Advices:** Advice 1 : LC_CANCEL_AD..., Advice 2 : TRADE_ENVELO..., Advice 3 : PAYMENT_MESS...
- Accounting Details:** Event : CANC, AccountNumber : 313100001, Branch : PK2.

At the bottom, there are buttons for 'Audit', 'Reject', 'Hold', 'Cancel', and 'Approve'.

Tiles Displayed in Approval Summary screen

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view the application details and LC details.
- Payment Details - User can view payment details.
- Additional Fields: User can view the additional fields during implementation.
- Settlement Details: User can view the Settlement details.
- Preview Messages - User can view the preview messages.
- Commission, Charges and Taxes: User can see the details provided for commission, charges and taxes.
- Advices: User should be able to view the advice details.
- Accounting Details: User can view the accounting details.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

3.6.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for cancellation. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC Cancellation. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none">● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Messages	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p>	
View LC	<p>Enables the user to view the latest LC of transfer LC values displayed in the respective fields.</p>	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Reject	On click of Reject, the transaction is rejected.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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